



## Cancer Research UK/NC3Rs specific terms and conditions for joint awards

1. This award is being made jointly by Cancer Research UK (CRUK) and the NC3Rs. As such, additional CRUK-NC3Rs specific terms and conditions, as set out below, shall apply. Where the conditions set out below address the same subject matter as in the UKRI terms and conditions, the CRUK-NC3Rs specific conditions shall supersede them.
2. In addition to the conditions set out in point RGC 3.2 on the use of animals in research; all grant holders using animals must implement the principles in the guidance '[Responsibility in the Use of Animals in Bioscience Research](#)'. Grant holders using non-human primates must comply with the NC3Rs guidelines '[Primate Accommodation, Care and Use](#)'.
3. If the award of this grant is contingent upon local research ethics committee (LREC) approval or on the granting of Home Office Licence authority, it is the responsibility of the grant holder and the Research Organisation (RO) to ensure that approval for this study is granted and adhered to, and that no research is initiated until approval has been granted. The grant holder/RO must be prepared to provide the NC3Rs with a copy of the approval, and any correspondence with the LREC or Home Office, if requested.
4. Grant holders should use the [ARRIVE guidelines](#) when designing their experiments and researchers should ensure that they report animal-based studies in accordance with the ARRIVE guidelines as far as possible, taking into account the specific editorial policies of the journal concerned.
5. All grant holders shall ensure that all outcomes of joint CRUK and NC3Rs-funded research including the data, results, final conclusions and any other information relating to the research are published on a freely accessible platform in accordance with UKRI and CRUK policies on Open Access.

All grant holders shall ensure that the methodologies developed as part of a CRUK-NC3Rs jointly funded project are published on the NC3Rs-F1000Research gateway or on another freely accessible platform.

Publications arising from any research jointly funded by CRUK and the NC3Rs must report the 3Rs impact(s) of the study in addition to the scientific findings. Failure to do so may jeopardise future funding from the NC3Rs. See the [NC3Rs Evaluation Framework](#) for guidance on reporting 3Rs impacts.

6. All NC3Rs grant holders must deposit publications arising from NC3Rs funded-research into Europe PMC at the point of final publication. Further information can be found on the [Europe PMC Plus site](#).

7. Both CRUK and the NC3Rs use Researchfish for the collection of outcomes data from jointly funded grants and for monitoring progress on grants both during and after the lifetime of the award. Compliance with Researchfish reporting is a requirement for every grant issued by CRUK and the NC3Rs. The Project Lead is responsible for ensuring their Researchfish record is up-to-date and must use Researchfish to report on their grant periodically and when requested to do so by CRUK and the NC3Rs. The final scientific report will be collected via Researchfish.

Researchers who do not report into Researchfish when requested to do so, or use the system inappropriately, may become ineligible to apply for future NC3Rs research grants.

8. The NC3Rs reserves the right to sanction a grant at any stage if unsatisfactory progress has been made.
9. All NC3Rs grant holders are expected to aid the NC3Rs in its peer review process and provide a referee report if requested.
10. The NC3Rs periodically organises focused grant holder meetings on specific areas/fields of interest (e.g. animal welfare assessment, cancer research etc.). If a meeting occurs within the term of the grant, either the Project Lead or co-Lead must attend.
11. Notwithstanding RGC 12, the NC3Rs agrees that CRUK may agree with the RO a share of the rewards of Intellectual Property (IP) proportionate to CRUK's contribution to the Grant according to CRUK's policy for the protection and exploitation of IP, and subject to (i) the agreement by the RO responsible for the Grant and (ii) that the written arrangement between CRUK and the RO does not breach the NC3Rs policy. CRUK's Intellectual Property Rights Policy Principles are set out below:

- CRUK requires all grant holders to be aware of the potential for generation of IP during the Grant. Patient benefit is a paramount goal and as such the highest priority should be given to ensuring that the innovations arising from CRUK-funded research are continually developed and introduced to market in a timely and efficient manner.
- any IP generated under the Grant ("Grant IP"), shall, in the first instance, vest in the RO.
- the RO will notify and consult with CRUK in good faith prior to any exploitation or commercialisation of Grant IP with a view to agreeing a reasonable revenue share arrangement (where appropriate) with CRUK to support CRUK's further investment in research.
- should the RO decide to discontinue development of Grant IP, it shall inform CRUK of its decision and at CRUK's request, it will discuss fair and reasonable terms under which CRUK may continue the development of Grant IP; and
- the RO is not permitted to licence or to sell Grant IP to (i) any person who develops, sells or manufactures tobacco products and/ or (ii) any person which makes the majority of its profits from

the importation, marketing, sale or disposal of tobacco products including any person that is controlled by or is under common control with any of the persons referred to in (i) and/or (ii) above.

12. The NC3Rs will provide CRUK with the grant holder's contact details. CRUK will liaise with the grant holder to discuss any additional Terms and Conditions which may apply to this grant for example but not limited to, additional reporting requirements, participation in meetings organised by the joint funder or intellectual property rights.

13. The NC3Rs reserves the right to amend these grant conditions at any time. We will publish on our website any changes to these grant conditions and once published, any changes will apply to the grant.

Further information can be found on the [NC3Rs website](#) and the [CRUK website](#).

Please note: The NC3Rs is an independent scientific organisation, tasked by Government with supporting the UK science base through the application of the replacement, reduction and refinement of the use of animals in research. Though it is represented legally by the MRC, which in turn is part of the executive non-departmental public body UK Research and Innovation (UKRI), the NC3Rs is managed independently.