



## British Heart Foundation/NC3Rs specific terms and conditions for joint awards \*

1. This award is being made jointly by BHF and the NC3Rs. As such, additional BHF/NC3Rs specific terms and conditions, as set out below, apply. Where the conditions set out below address the same subject matter as in the UKRI terms and conditions, the BHF/NC3Rs specific conditions will supersede them.
2. In addition to the condition set out in TGC/RGC 3.2 on the use of animals in research; all Grant Holders must implement the principles in the guidance [Responsibility in the Use of Animals in Bioscience Research](#); and all research involving non-human primates should conform to the NC3Rs guidelines '[Primate accommodation, care and use](#)'.
3. If the award of this grant is contingent upon local research ethics committee (LREC) approval or on the granting of Home Office Licence authority, it is the responsibility of the grant holder and the Research Organisation to ensure that approval for this study is granted and adhered to, and that no research begins until approval has been granted. The grant holder/Research Organisation must be prepared to provide BHF and the NC3Rs with a copy of the approval, and any correspondence with the LREC or Home Office, if requested.
4. All grant holders shall ensure that all outcomes of BHF/NC3Rs-funded research including the data, results, final conclusions and any other information relating to the research are published on a freely accessible platform in accordance with the [UKRI policy on Open Access](#). All grant holders shall ensure that the methodologies developed as part of a BHF/NC3Rs-funded project are published on the NC3Rs gateway or on another freely accessible platform.

Publications arising from research funded by the BHF/NC3Rs joint award must report the 3Rs impact of the study in addition to the scientific findings. Failure to do so may jeopardise future funding. See the [NC3Rs Evaluation Framework \(opens a PDF, 1.2MB\)](#) for guidance on reporting 3Rs impacts.

5. BHF and the NC3Rs should be informed of any manuscripts accepted for publication arising from the grant at least five working days in advance; they will be included in the [NC3Rs Research Portfolio](#). Grant holders must deposit any publications arising from BHF/NC3Rs-funded research into Europe PMC at time of final publication.

6. In addition to the condition set out in TGC 11.4/RGC 12.4: Publications and other forms of media communication, including media appearances, press releases and conferences, must acknowledge the support received from both BHF (quoting British Heart Foundation) and the NC3Rs (quoting the grant reference number if appropriate). Any press releases must be approved by BHF in advance.
7. Grant holders should use the ARRIVE guidelines when designing their experiments and ensure that they report animal-based studies in accordance with the ARRIVE guidelines.
8. Both BHF and the NC3Rs use Researchfish for the collection of outcomes data from jointly funded grants and for monitoring the progress on grants both during and after the lifetime of the award. Compliance with Researchfish reporting is a requirement for every grant issued by BHF and the NC3Rs. The grant holder is responsible for ensuring their Researchfish record is up-to-date and must use Researchfish to report on their grant periodically/when requested by both BHF and the NC3Rs. Researchers who do not report into Researchfish when requested to do so, or use the system inappropriately, may become ineligible to apply for NC3Rs research grants. The final scientific report will be collected via Researchfish, Failure to update Researchfish within three months of the grant end date will result in financial and non-financial sanctions.

Further information on grant holder responsibilities in relation to reporting on Researchfish can be found on the NC3Rs website.

BHF also requires a Final Report and will contact the grant holder at the appropriate time.

9. The NC3Rs reserves the right to sanction a grant at any stage if unsatisfactory progress has been made.
10. For Studentships, grant holders must update the Student Data Portal (SDP) within one month of the student starting the course.
11. Condition TGC 8: Absence; does not apply in full to NC3Rs PhD Studentships. BHF and the NC3Rs will not financially supplement a grant once it has been awarded. BHF and the NC3Rs do not provide additional funding for maternity or paternity cover. It is the responsibility of the host Research Organisation to provide funding for maternity or paternity cover in line with UKRI policy.
12. For Studentships, BHF and the NC3Rs expect students to submit their theses no later than six months from the funding end date.
13. Under reasonable circumstances, as the holder of a BHF/NC3Rs award, grant holders are expected to aid BHF and the NC3Rs in their peer review process and provide a review if requested.
14. The NC3Rs organises focused grant holder meetings on specific areas/fields of interest e.g. animal welfare, neuroscience etc.

If a meeting occurs within the term of the grant, the Project Lead or Project co-Lead must attend.

15. The NC3Rs organises a Summer School for NC3Rs funded PhD students. The student must attend at least one NC3Rs Summer School during the term of their PhD. Failure to attend may result in financial and non-financial sanctions being imposed.
16. We reserve the right to call for periodic updates on the grant's progress or to visit the grant holder, and student if applicable, or request participation in evaluation studies. The grant holder must make all reasonable efforts, if so invited, to respond to requests for information or to attend events or activities organised by the NC3Rs concerning the research undertaken, including requests or events after the end of the grant period.
17. BHF and the NC3Rs wish to see that results of the work supported by the grant are exploited for the benefit of the 3Rs, UK healthcare and industrial competitiveness. To this end, all rights in the results of the work arising out of or derived from a BHF/NC3Rs grant are vested initially in the Institution administering the award. The host institution shall ensure that any persons engaged on the work shall promptly communicate to the relevant Programme Manager at the NC3Rs and the Head of Research Funds at BHF and co-operate in seeking appropriate protection for any results that may be capable of commercial application. Until such protection, if necessary is secured, the host institution shall take all reasonable steps to ensure that the details of such results are held in strict confidence by all persons having access to them.
18. In addition, this award is subject to BHF's Intellectual Property and Commercial Exploitation terms, as stated in section 5 of the [BHF Standard Conditions of Grant](#).
19. The NC3Rs will provide the grant holder's contact details to BHF. BHF will liaise with the grant holder to discuss any additional Terms and Conditions which may apply to this grant.
20. The NC3Rs reserves the right to amend and vary these Terms and Conditions at any time.

Further information can be found on the NC3Rs website at: <https://nc3rs.org.uk/award-terms-and-conditions> and the BHF website at: [www.bhf.org.uk/research](http://www.bhf.org.uk/research).

\* PhD Studentships and Partnerships and Impact awards

May 2024